

1 A benchmark of a single-surface yield function with hardening - Ehlers mate-  
 2 rial model

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A seven-parametric yield function presented by [Ehlers \(1995\)](#) is expressed as:

$$F = \Phi^{\frac{1}{2}} + \beta I_1 + \varepsilon I_1^2 - k(\varepsilon_{\text{p,eff}}) \quad (1)$$

$$\Phi = J_2 (1 + \gamma \vartheta)^m + \frac{1}{2} \alpha I_1^2 + \delta^2 I_1^4 \quad (2)$$

$$\vartheta = \frac{J_3}{J_2^{\frac{3}{2}}} \quad (3)$$

For general statement, the plastic potential is considered as:

$$G_F = F \quad (4)$$

The derivation of the plastic flow rule and the partial linearisation proceed as follows:

$$\frac{\partial G_F}{\partial \sigma} = \frac{1}{2\Phi^{\frac{1}{2}}} \frac{\partial \Phi}{\partial \sigma} + \beta \frac{\partial I_1}{\partial \sigma} + 2\varepsilon I_1 \frac{\partial I_1}{\partial \sigma} \quad (5)$$

$$= \frac{1}{2\Phi^{\frac{1}{2}}} \frac{\partial \Phi}{\partial \sigma} + \beta \mathbf{I} + 2\varepsilon I_1 \mathbf{I} \quad (6)$$

$$\frac{\partial \Phi}{\partial \sigma} = (1 + \gamma \vartheta)^m \frac{\partial J_2}{\partial \sigma} + m\gamma J_2 (1 + \gamma \vartheta)^{m-1} \frac{\partial \vartheta}{\partial \sigma} + \alpha I_1 \frac{\partial I_1}{\partial \sigma} + 4\delta^2 I_1^3 \frac{\partial I_1}{\partial \sigma} \quad (7)$$

$$= (1 + \gamma \vartheta)^m \sigma^D + m\gamma J_2 (1 + \gamma \vartheta)^{m-1} \frac{\partial \vartheta}{\partial \sigma} + \alpha I_1 \mathbf{I} + 4\delta^2 I_1^3 \mathbf{I} \quad (8)$$

where

$$\frac{\partial \vartheta}{\partial \sigma} = \frac{\partial \vartheta}{\partial J_3} \frac{\partial J_3}{\partial \sigma} + \frac{\partial \vartheta}{\partial J_2} \frac{\partial J_2}{\partial \sigma} = \frac{1}{J_2^{\frac{3}{2}}} \frac{\partial J_3}{\partial \sigma} - \frac{3}{2} \frac{J_3}{J_2^{\frac{5}{2}}} \frac{\partial J_2}{\partial \sigma} = \vartheta (\sigma^{D-1})^D - \frac{3}{2} \frac{\vartheta}{J_2} \sigma^D \quad (9)$$

$$\frac{\partial J_3}{\partial \sigma} = J_3 (\sigma^{D-1})^D \quad (10)$$

$$\frac{\partial J_2}{\partial \sigma} = \sigma^D \quad (11)$$

$$\frac{\partial I_1}{\partial \sigma} = \mathbf{I} \quad (12)$$

$$\frac{\partial \sigma^D}{\partial \sigma} = \mathcal{P}^D \quad (13)$$

so that

$$f^D = \frac{1}{2\Phi^{\frac{1}{2}}} ((1 + \gamma \vartheta)^m) \sigma^D + m\gamma J_2 (1 + \gamma \vartheta)^{m-1} \frac{\partial \vartheta}{\partial \sigma} \quad (14)$$

$$f^S = \frac{1}{2\Phi^{\frac{1}{2}}} (\alpha I_1 + 4\delta^2 I_1^3) \mathbf{I} + (\beta + 2\varepsilon I_1) \mathbf{I} \quad (15)$$

$$f^S = \frac{3}{2\Phi^{\frac{1}{2}}} (\alpha I_1 + 4\delta^2 I_1^3) + 3(\beta + 2\varepsilon I_1) \quad (16)$$

Thus, the non-zero Jacobian's component relations are:

$$\frac{\partial F}{\partial \tilde{\sigma}^j} = G \left[ \frac{\partial F}{\partial I_1} \mathbf{I} + \left( \frac{\partial F}{\partial J_2} + \frac{\partial F}{\partial \vartheta} \frac{\partial \vartheta}{\partial J_2} \right) \boldsymbol{\sigma}^D + \frac{\partial F}{\partial \vartheta} \frac{\partial \vartheta}{\partial J_3} J_3 \boldsymbol{\sigma}^{D-1} : \mathcal{P}^D \right] \quad (17)$$

$$\frac{\partial f^S}{\partial \tilde{\sigma}^j} = G \left[ -\frac{3}{4\Phi^{\frac{3}{2}}} (\alpha I_1 + 4\delta^2 I_1^3) \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} + \frac{3}{2\Phi^{\frac{1}{2}}} (\alpha + 12\delta^2 I_1^2) \mathbf{I} + 6\epsilon \mathbf{I} \right] \quad (18)$$

$$\frac{\partial f^D}{\partial \tilde{\sigma}^j} = G \left[ -\frac{1}{4\Phi^{\frac{3}{2}}} \mathbf{M}_1 + \frac{1}{2\Phi^{\frac{1}{2}}} (\mathbf{M}_2 + \mathbf{M}_3) \right] \quad (19)$$

where

$$\frac{\partial \boldsymbol{\sigma}^j}{\partial \tilde{\sigma}^j} = G \quad (20)$$

$$\mathbf{M}_1 = (1 + \gamma\vartheta)^m \boldsymbol{\sigma}^D \otimes \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} + m\gamma J_2 (1 + \gamma\vartheta)^{m-1} \frac{\partial \vartheta}{\partial \boldsymbol{\sigma}} \otimes \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} \quad (21)$$

$$\mathbf{M}_2 = (1 + \gamma\vartheta)^m \mathcal{P}^D + m\gamma (1 + \gamma\vartheta)^{m-1} \frac{\partial \vartheta}{\partial \boldsymbol{\sigma}} \otimes \boldsymbol{\sigma}^D \quad (22)$$

$$\mathbf{M}_3 = m\gamma \left( (1 + \gamma\vartheta)^{m-1} \boldsymbol{\sigma}^D \otimes \frac{\partial \vartheta}{\boldsymbol{\sigma}} + J_2(m-1)\gamma (1 + \gamma\vartheta)^{m-2} \frac{\partial \vartheta}{\boldsymbol{\sigma}} \otimes \frac{\partial \vartheta}{\boldsymbol{\sigma}} + J_2 (1 + \gamma\vartheta)^{m-1} \frac{\partial^2 \vartheta}{\partial \boldsymbol{\sigma}^2} \right) \quad (23)$$

$$\frac{\partial^2 \vartheta}{(\partial \boldsymbol{\sigma})^2} = (\boldsymbol{\sigma}^{D-1})^D \otimes \frac{\partial \vartheta}{\partial \boldsymbol{\sigma}} - \vartheta \mathcal{P}^D : (\boldsymbol{\sigma}^{D-1} \odot \boldsymbol{\sigma}^{D-1}) : \mathcal{P}^D - \frac{3}{2} \left( \frac{1}{J_2} \boldsymbol{\sigma}^D \otimes \frac{\partial \vartheta}{\partial \boldsymbol{\sigma}} + \frac{\vartheta}{J_2} \mathcal{P}^D - \frac{\vartheta}{J_2^2} \boldsymbol{\sigma}^D \otimes \boldsymbol{\sigma}^D \right) \quad (24)$$

The  $15 \times 15$  Jacobian reads:

$$\frac{\partial \mathbf{G}}{\partial \mathbf{z}} = \begin{pmatrix} \mathbf{J}_{11} & \mathbf{J}_{12} & \mathbf{J}_{13} & \mathbf{J}_{14} & \mathbf{J}_{15} \\ \mathbf{J}_{21} & \mathbf{J}_{22} & \mathbf{J}_{23} & \mathbf{J}_{24} & \mathbf{J}_{25} \\ \mathbf{J}_{31} & \mathbf{J}_{32} & \mathbf{J}_{33} & \mathbf{J}_{34} & \mathbf{J}_{35} \\ \mathbf{J}_{41} & \mathbf{J}_{42} & \mathbf{J}_{43} & \mathbf{J}_{44} & \mathbf{J}_{45} \\ \mathbf{J}_{51} & \mathbf{J}_{52} & \mathbf{J}_{53} & \mathbf{J}_{54} & \mathbf{J}_{55} \end{pmatrix} \quad (25)$$

where the components are given as follows:

$$\mathbf{J}_{11} = \mathbf{I}, \quad \mathbf{J}_{12} = 2\mathbf{I}, \quad \mathbf{J}_{13} = \frac{K}{G}\mathbf{I}, \quad \mathbf{J}_{14} = \mathbf{J}_{15} = \mathbf{0} \quad (26)$$

$$\mathbf{J}_{21} = -\lambda^j \frac{\partial f^D}{\partial \tilde{\sigma}^j}, \quad \mathbf{J}_{22} = \frac{1}{\Delta t} \mathbf{I}, \quad \mathbf{J}_{23} = \mathbf{J}_{24} = \mathbf{0}, \quad \mathbf{J}_{25} = -f^D \quad (27)$$

$$\mathbf{J}_{31} = -\lambda^j \frac{\partial f^S}{\partial \tilde{\sigma}^j}, \quad \mathbf{J}_{32} = \mathbf{0}, \quad \mathbf{J}_{33} = \frac{1}{\Delta t}, \quad \mathbf{J}_{34} = 0, \quad \mathbf{J}_{35} = -f^S \quad (28)$$

$$\mathbf{J}_{41} = -\frac{2(\lambda^j)^2}{3\sqrt{\frac{2}{3}(\lambda^j)^2 f^D \cdot f^D}} \frac{\partial f^D}{\partial \tilde{\sigma}^j} f^D, \quad \mathbf{J}_{42} = \mathbf{0}, \quad \mathbf{J}_{43} = 0, \quad \mathbf{J}_{44} = \frac{1}{\Delta t}, \quad \mathbf{J}_{45} = -\frac{2\lambda^j f^D \cdot f^D}{3\sqrt{\frac{2}{3}(\lambda^j)^2 f^D \cdot f^D}} \quad (29)$$

$$\mathbf{J}_{51} = \frac{\partial F}{\partial \tilde{\sigma}^j}, \quad \mathbf{J}_{52} = \mathbf{0}, \quad \mathbf{J}_{53} = 0, \quad \mathbf{J}_{54} = -\frac{\kappa h}{G}, \quad \mathbf{J}_{55} = 0 \quad (30)$$

(31)

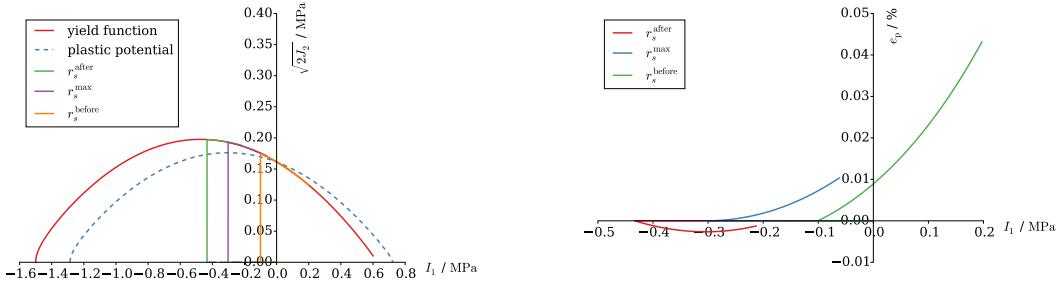


Figure 1: Characteristic shape of the yield function and the plastic potential in the hydrostatic plane and the corresponding plastic volumetric strain when  $I_1 = \text{const.}$ ,  $\alpha = 0$ .

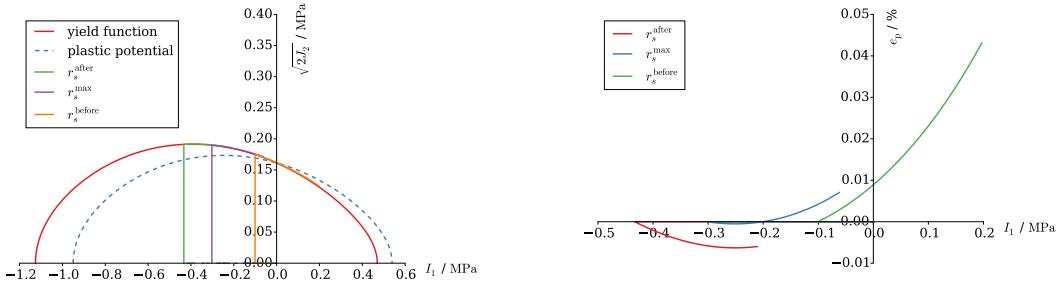


Figure 2: Characteristic shape of the yield function and the plastic potential in the hydrostatic plane and the corresponding plastic volumetric strain when  $I_1 = \text{const.}$ ,  $\alpha = 0.01$ .

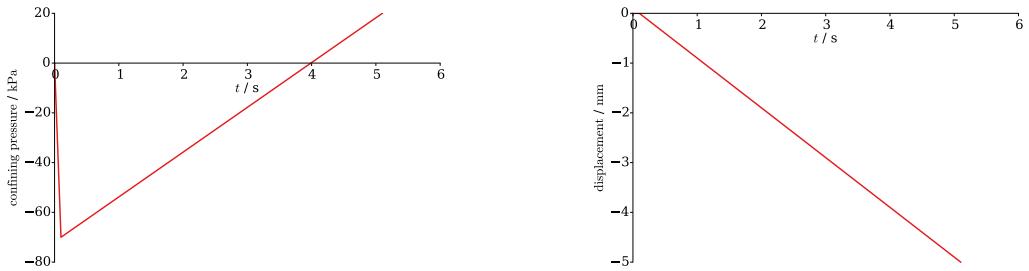


Figure 3: Triaxial compression test.

The specific material under case study refers to the one that used in the work of [Ehlers and Avci \(2013\)](#).

Table 1: Values of the parameters describing elastic process and plastic hardening

Shear modulus $G$ MN/mm <sup>2</sup>	Bulk modulus $K$ MN/mm <sup>2</sup>	Hardening $h$	$\kappa$ mm <sup>2</sup> /MN	$\beta$	$\gamma$	$\alpha$	$\delta$ mm <sup>2</sup> /MN	$\epsilon$ mm <sup>2</sup> /MN	m
150	200	10	0.1	0.095	1	0.01	0.0078	0.1	0.54
				$\beta_p$ 0.0608	$\gamma_p$ 1	$\alpha_p$ 0.01	$\delta_p$ 0.0078	$\epsilon_p$ 0.1	$m_p$ 0.54

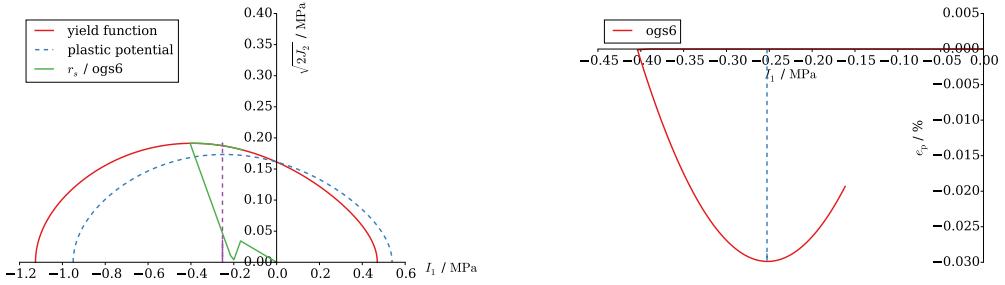


Figure 4: Variations of the stress states and the plastic volumetric strain with monotonic loading process.

The parameter set is listed in Table 1. A conventional triaxial compression test was performed under the condition of i)  $I_1 = \text{const.}$ ; ii)  $I_1$  monotonically increases with time, see Fig. 3 in loading process and confining pressure  $\sigma_1 = \sigma_2 = \sigma_3 = \frac{1}{3}I_1$ . During the compression test, a continuous displacement-controlled loading process was applied with a velocity of 1 mm/s. For the yield function in the hydrostatic plane, the consolidation pressure  $p_c$  and the ratio  $\pi_c$  which defines the ratio between the pressures corresponding to the curve's maximum and length can be expressed as functions of  $\beta$ ,  $\delta$  and  $\epsilon$ :

$$p_c = \frac{\beta}{3(\epsilon + \delta)} \quad (32)$$

$$\pi_c = \frac{1}{4(\epsilon - \delta)} \left( 3\epsilon - \sqrt{8\delta^2 + \epsilon^2} \right) \quad (33)$$

1 Note that, only in the case of  $\alpha = 0$ , the curve's maximum depends on  $p_c$  and  $\pi_c$ , see Fig. 1 and Fig. 2 for  
2 comparison.

3 Fig. 4 shows a triaxial compression test under a monotonic confining pressure. The volume deformation  
4 varies rapidly from a slight contraction to a significant dilatation behaviour during the specific loading path.  
5 The plastic volumetric strain exhibits a nonlinear progression over the loading process. Two states are worth  
6 noted, i) the effective shear stress vanishes at a constant stage under the current ongoing confining pressure  
7 and displacement loading; ii) The plastic volumetric strain achieves the maximum, and then, the declining  
8 stage illustrates the decrease of the volume dilatation.

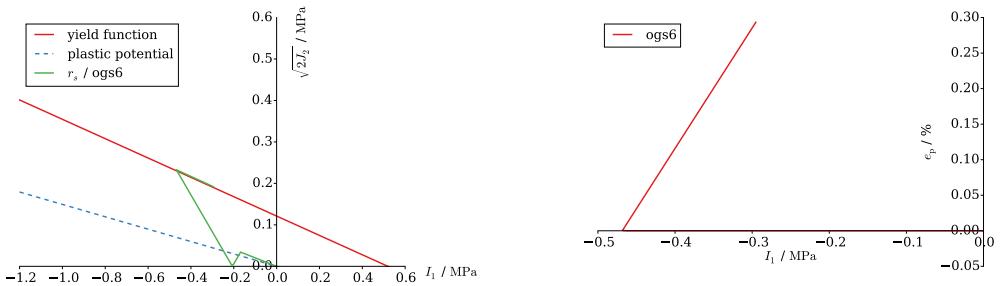


Figure 5: Variations of the stress states and the plastic volumetric strain with monotonic loading process (Drucker-Prager yield criterion).

9 By prescribing specific parameters to be zero, the seven-parametric yield function can be reduced to the  
10 well-known criteria, such as the Drucker-Prager and von Mises. Here, we provide a Drucker-Prager model

<sup>1</sup> test as an additional verification of the Ehlers material model, see Fig. 5. Note that, the reduction of the  
<sup>2</sup> Ehlers material model corresponds to the state that the Drucker–Prager yield surface middle circumscribes  
<sup>3</sup> the Mohr–Coulomb yield surface.

<sup>4</sup> **References**

- <sup>5</sup> Ehlers, W., 1995. A single-surface yield function for geomaterials. *Archive of Applied Mechanics* 65 (4), 246–259.  
<sup>6</sup> Ehlers, W., Avci, O., 2013. Stress-dependent hardening and failure surfaces of dry sand. *International Journal for Numerical and Analytical Methods in Geomechanics* 37 (8), 787–809.